

1 ACCOUNT INFORMATION

ACCOUNT OWNER'S NAME(S)	ACCOUNT NUMBER(S)
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2 REPRESENTATIVE and FIRM DESIGNATION or CHANGE

Check one option below:

☐ **Appoint New Representative and Firm:** I hereby instruct Equity Trust Company, to share my account(s) information and accept investment directions from my Representative and Advisory Firm or Broker Dealer designated herein. IMPORTANT: Carefully review Section 3 for details on what authorizations a Representative will have as a result of checking this authorization.

☐ **Revoke My Designated Representative:** I hereby revoke any and all prior Representative and Firm designations and elect to not have a Representative and Firm designated on the above referenced account(s) at this time. I understand that, by making this election, I will solely be responsible for managing my account with Equity Trust Company and this may affect my fee schedule and the status of my account in the future. Additional information will be provided in advance of any changes.

☐ **Revoke and Replace My Representative and Firm:** I hereby revoke any and all prior Representative and Firm designations and instruct Equity Trust Company, to share my account information and accept investment directions communicated by the Representative and Firm designated below. IMPORTANT: Carefully review Section 3 for details on what authorizations a Designated Representative will have as a result of checking this box.

REPRESENTATIVE'S NAME		REPRESENTATIVE'S CRD / LICENSE NUMBER (if applicable)	
REPRESENTATIVE'S ADDRESS	CITY	STATE	ZIP CODE
PHONE NUMBER	FAX	E-MAIL ADDRESS	
ADVISORY FIRM'S OR BROKER DEALER'S NAME			FIRM'S PHONE NUMBER
FIRM'S ADDRESS	CITY	STATE	ZIP CODE

3 ACKNOWLEDGMENT and SIGNATURE

By signing below, I hereby make the following representations:

- I acknowledge that my Equity Trust Company Account ("Account") is self-directed and I am solely responsible for the selection, management, retention and disposal of all investments held within my Account. I understand and acknowledge that Equity Trust Company Services, LLC ("Equity Trust Company") will exercise no discretion with respect to the funds in my Account, will not under any circumstances provide investment advice or recommendations, and will in all events invest all of the funds in my Account solely and exclusively at my direction or the direction of my Representative. I further understand and confirm that I have not entered into a "trust" agreement with Equity Trust Company, but rather I have entered into a "custodial" agreement under which Equity Trust Company has no duties or responsibilities with respect to the investment of the funds in my Account. Finally, I understand and intend that Equity Trust Company shall not assume the responsibilities of a trustee, a "fiduciary", or a person entitled to exercise any discretionary authority with respect to the funds in my Account, as those terms and concepts are defined in the Internal Revenue Code, ERISA, or other applicable federal, state or local laws.
- I understand that if I have chosen to appoint a Representative in Section 2 of this form, or should I ever appoint a Representative on a form acceptable to Equity Trust Company, such person is my agent and is not in any way an agent, employee, or representative of Equity Trust Company. I understand that Equity Trust Company has not made and will not make any recommendation or investigation with respect to my Representative, nor does Equity Trust Company compensate my Representative in any manner.
 - By appointing a financial advisor, broker, financial planner or other person as a Representative to my Account(s), I understand that this person and the firm they are affiliated with:
 - Is authorized to give investment directions on my behalf to Equity Trust Company
 - Will have unlimited access to my Account information, and
 - Will have access to copies of my Account statements and other correspondence
 - By selecting this option, I am appointing the person(s) indicated above as my Representative on my Account for the purpose of communicating investment directions to Equity Trust Company and receiving information on my Account, in accordance with my Agreement and related Addendum, Notices, and Disclosures. I acknowledge that:
 - I understand that even if a third party of firm suggested that I retain Equity Trust Company's services as custodian, my Representative is my authorized agent and is not in any way an agent, employee, or representative of Equity Trust Company or its affiliates.
 - I understand that Equity Trust Company does not compensate (nor does it receive compensation from) any Representative I might designate.
 - I understand that my Representative may be a registered representative of a broker dealer organization or a financial advisor.
 - I understand that Equity Trust Company has not made and will not make any recommendation or investigation with respect to my appointed Representative.
 - I understand that I may appoint and/or remove my Representative at any time by delivering written notice on a form acceptable to Equity Trust Company. If I remove my Representative, I understand such removal shall not have the effect of canceling any notice, instruction, direction or approval received by Equity Trust Company from my removed Representative before Equity Trust Company receives my notice of removal.
 - I instruct Equity Trust Company to pay for or receive payment from security or other investment transactions communicated by my Representative as shown below as indicated by broker confirmation of trade or other requests for payment received by Equity Trust Company.
 - I understand that it is solely my responsibility to direct my Representative to execute trades or other investment elections for my Account, and all instructions, directions, and/or confirmations received from my Representative, his/her agent(s), firm or broker dealer, whether written or oral, shall be assumed by Equity Trust Company to have been authorized by me.
 - Without limitation, I agree to indemnify, defend and hold harmless Equity Trust Company, its affiliates and each of its and their directors, officers, members and employees for any loss or breach of any kind which may result from any action or inaction that it takes or omits in good faith in accordance with, and in its reliance upon, any certificate, notice confirmation, instruction, or other written or oral (if so elected) communication purporting to have been delivered at my direction on behalf of my Account by my Representative or brokerage firm.

ACCOUNT OWNER'S SIGNATURE	DATE
JOINT ACCOUNT OWNER'S SIGNATURE (if applicable)	DATE