



ETC
BROKERAGE SERVICESSM

INTERESTED PARTY AUTHORIZATION FORM

WHEN TO USE THIS FORM

If you would like to authorize an Interested Party (i.e., Accountant, etc.) to receive duplicate statements, duplicate brokerage trade confirmations, and/or have online access to your account.

INSTRUCTIONS & GUIDELINES

Use this form if you want to designate an interested party on your account. An interested party is a company or individual you designate to receive a copy of your statement and/or brokerage trade confirmations.

SUBMISSION OPTIONS

BY FAX:
(440) 365-1447

REGULAR MAIL:
ETC Brokerage Services
P.O. Box 451249
Westlake, OH 44145

OVERNIGHT MAIL:
ETC Brokerage Services
1 Equity Way
Westlake, OH 44145

BY E-MAIL:
ETCBrokerage@ETCBrokerage.com

CONTACT INFORMATION

For assistance, please contact a Customer Service Representative. Our service team is available 7:00 am to 5:00 pm MT.

TOLL FREE:
877-819-8918

DO NOT FAX OR MAIL THIS COVER PAGE

INVESTMENT PRODUCTS: NOT FDIC INSURED - NO BANK GUARANTEE - MAY LOSE VALUE

1 ACCOUNT INFORMATION

Your current name, as titled on your Account, and account number(s) are required so we can properly identify your account(s):

ACCOUNT OWNER(S)			
LAST 4 DIGITS OF SSN	ADDRESS		
CITY	STATE	ZIP	PHONE NUMBER

2 INTERESTED PARTY INFORMATION

An interested party is a company or individual that you can designate to receive a copy of your statements, trade confirmations and/or online access to your account. An interested party does not have access to and cannot transact on or make any changes to your account.

Add the following interested party
 Change to the following interested party
 Remove the following interested party

To receive (check all that apply):
 Duplicate Statements
 Brokerage Trade Confirmations
 Online Access (view only)

NAME	EMAIL ADDRESS	
COMPANY NAME (if applicable)	PHONE NUMBER	
RELATIONSHIP TO ACCOUNT OWNER(S)		
ADDRESS		
CITY	STATE	ZIP CODE

3 ACCOUNT(S) INTERESTED PARTY APPLIES TO

List your accounts below that you are authorizing the Interested Party to receive duplicate statements, trade confirmations and/or have online access to.

ACCOUNT REGISTRATION	ACCOUNT NUMBER
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4 SIGNATURE

PLEASE READ BEFORE SIGNING:

Acknowledgement: I (Account Owner) authorize ETC Brokerage Services to send duplicate information and/or provide online access to my Account(s) in accordance with the instructions set forth above. I understand and acknowledge that this information may be confidential and privilege and that the Interested Party does not have authorization to transact on these accounts.

ACCOUNT OWNER'S OR AUTHORIZED INDIVIDUAL'S SIGNATURE	DATE	JOINT ACCOUNT OWNER'S SIGNATURE (if applicable)	DATE
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