Reset Form

Fullscreen View On/Off << Previous Page Next Page >> Reset Form

IMPORTANT: Please Ensure That You Read The Following Disclosures Before You Sign And Date These Documents.

E7RNHHQWDIIDWHROOHWRDQdoes not offer any investment advice, nor does it en-dorse any investment, investment product or investment strategy; and Custodian does RWRH DQQDO DRSWDWH ERNH RIWSDWQOW DQvestment selected by me. It is my own responsibility to perform proper due diligence WHWRDQSWDWH IQDO DRU ERNRWH SDWQ H performed by Custodian with respect to an investment shall be solely for Custodian's own purposes of determining the administrative feasibility of the investment and in no way should be construed as an endorsement of any investment, investment company or investment strategy. In addition the acceptance of any investment should not be construed as an endorsement of any investment, investment company or investment strategy.

This investment is not FDIC insured and may lose value. In addition the investment select-HWH Q PDODFNOTWPD EHSHODWQOH H RI NDQ PDWQFRPSOWHRIWH QWPW QRWDH P FFRQW will not affect my retirement income standard; and if a mandatory distribution arises, I will DWHEOWWRP ,5 DQRWH DFFRQWRPHDQ PDQWRU distribution requirements.

Neither Custodian nor any employee or agent of Custodian has selected or recommended any investment for me; and neither Custodian nor any employee or agent of Custodian has DFWHDOSHFRPSOWQQ SEDOORIDQ QWPW of a security for me, except where Custodian may purchase or sell a publicly-held security RPEHOIDW PRHWRQ DPD HH DFRPPRQRU F transaction.

Custodian is neither an agent nor a representative of any investment program or other entity Q FRWE,PDWDQQOSRQ SRPRWHQDO DRU ER-NRRWSDWQOWSEDOORIPWPWDOOEFRQ P own agent and representative and not the agent or representative of Custodian. Custodian DWR WRNRQOWWRQWDWH RUPDNHRPPHWRQWRPFRFH RI agent. Custodian shall not be responsible for or bound by any representations, warranties, statements or commitments made by such party.

WRDQFWQ ROH DWHWH WRDQ WRO FFRQWDWDQ Q R RWH DSDFWDQ PDEH FRPPRQRHWRQWWOFWH SEDH or sale of a publicly-traded security. Custodian has no responsibility to question any invest-PW EHWRPH RDQSSRQH IQDO SWDWH ,IWH QWDQ that Custodian does not compensate nor receive compensation from the undersigned chosen representative.

Custodian shall be under no obligation or duty to investigate, analyze, monitor, verify title to or otherwise evaluate any investment contemplated herein, or to obtain or maintain insurance coverage (whether liability, property or otherwise) with respect to any assets or QWPWSEDHPWRDQOORQWEQRQOWRWDNH DQ DFWRQO there be any default with regard to this investment.

It is not the responsibility of Custodian to review the prudence, merits, viability or suitability of any investment made by me or to determine whether the investment is acceptable H (5,6 WH,QWHO 5Q RH RDRWH DSSOFDEOH ,QDQ WDW FWDQWDQFWRQDH SREWHQ QOWPWDFFRQV DQ TDOIH WH-ment plans under Section 4975 of the Internal Revenue Code. I further understand that the determination of a prohibited transaction depends on the facts and circumstances that RQSDWFODWDQFWRQWRDQO PDNHWPQWRQWRWH P investment is prohibited.

,IWH QWDQWDWRO P FFRQ HSREWWDQFWRP DF-count will incur a taxable distribution as well as possible penalties. I represent to Custodian that I have consulted with my own legal and accounting advisors to ensure that my investment does not constitute a prohibited transaction and that my investment complies with all applicable federal and state laws, regulations and requirements including without limitation WDWHRIIH QWW RQOV QWDOSRQ ,H H RU DSDWQWDV H Q (5,6 HWRQ,QW DQ QWPWIRU P WPW DFFRQ SREWDDEOHRPHDWH RHWIQHSQWR ,5 ,DH WSDH RUDV SDH IRPWH HH 7 WDIRP DORQW a direction of investment authorizing the Custodian to pay taxes from my account. Forms QWR EPWWWR WWRDQ IRQ DSRWRWHWRQWH DH due.

Custodian does not provide legal or tax services or advice with respect to my investment; DQWH HHPPH DQ DWRO DPOQ H V-todian in the event that my investment or sale of assets pursuant to the Direction of Invest-PW KRODWDQ IHORQWDWHDRHDWRQ RRWH WQ DDOIFDWRQ SHOWIRWDPSRQWH FFRQWRDQWQ

WRDQOO EHIOOSRWHWHFWQRQ DQWPW FWIFDWRSDSH EH-lieved to be genuine and to be signed or presented by the proper person or persons whether RQWE IDFOH RURWHFRSDQ WRDQOO EH QW WRPDNDQ Q-tigation or inquiry as to any statement contained in any such writing, but may accept the same as conclusive evidence of the truth and accuracy of the statements therein contained.

10. The undersigned represents to Custodian that if my investment is a "security" under applicable federal or state securities laws, such investment has been registered or is exempt from registration under federal and state securities laws; and the undersigned releases and waives all claims against Custodian for its role in carrying out the instructions of the under-QSHWWRFQWPW7H DFNQOH WDWWHRQ representation is being relied upon by Custodian in accepting the undersigned's direction of investment and agrees to indemnify Custodian with respect to all costs, expenses (including DWWRQHOWDEOWPDH DFWRQWDOPQ RWRIFQWPWDQDEHFRIWHRSDWRQ

11. The undersigned authorizes and directs Custodian to execute and deliver, on behalf of my FFRQWDQQOORFPWWRWDQRHWRQWPWPW and Custodian shall have no responsibility to verify or determine that any such documents are complete, accurate or constitute the documents necessary to comply with this Direction.

12. Custodian shall only be responsible to comply with those investment directions given by WRSEDWDQROODWREWDQEOHWRDWH FRQHRQHRHDHRWQOWRWOPWDWRQDN deposits, real property, promissory notes and other indebtedness, mortgages, viaticals, securities, interests in partnerships and limited liability companies, accounts receivable, security interests, etc.; provided in each case that the investment may be obtained by Custodian DQ FRPSDWEOHWWPWDWHRSHWRQOHPV DQ IDPRNDV determined by Custodian, in its sole discretion.

The undersigned agrees that any documents sent to the undersigned by Custodian in connection with my investment shall be deemed approved

Custodian has no duty or responsibility to disburse any payment for my investment without my express direction. I agree to furnish Custodian with payment instructions utilizing Custodian's Direction of Investment form. Custodian also has the right not to SRFH DQ WDQFWRQPW F WHV WREHRQ WHFRSHRIWV DPQWDWHcapabilities or expertise.

WRDQRSRQOWWRIRDWRPHRFPWRQWIFDWRQ -garding my investment and I agree that it is my own responsibility to assure delivery of all such notices and documents to me.

Custodian shall have no duty or obligation to notify the undersigned with respect to DQRPDWRQQRHDWEFRHIWRDHDWQWRPW-PWRPIQDODEHRNHQSRPRWSW DWHSWDWRFV pleadings or court orders received by Custodian.

13. Custodian shall use reasonable efforts to acquire or sell investments in accordance with the directions of the undersigned within a reasonable period of time after Custodian has EHQWPWHWRQWRDQOOPDNHQEOHRWWRQWIWH undersigned if Custodian is unable or unwilling to comply with an investment direction. WRDQOOHWWRWHRQWIQHWHWDRQRO -ity to verify or assure that such funds have been invested to purchase or acquire the asset selected by me.

The undersigned consents to the fee schedule of Custodian as in effect, as may be PRHRPWPWRWPH

The undersigned understands that valuations of illiquid assets (assets that are not traded on a public exchange) are generally reported at cost, or values provided to us by issuers, SRDP SRQFRQWRQWPDWRIDOHOHQIRU -ance or reporting purposes and should not be deemed an accurate representation of true fair PDNWDORIWHWHOODODEOPDNWQRPDWRQWDWPD be designated "not available".

14. Custodian's responsibilities and duties shall be limited to those expressly provided herein DQWRDSRWRPWDQEWRDODFFRQDPWDQ effect from time to time; and Custodian shall have no liability to the undersigned, whether IRQHHFRIFDWRRWHSWIRDEHFRIWHPRI WHQWWH,RSWRQWRHWRDODFFRQDPWRIWR -dian as may be in effect from time to time.

15. The undersigned agrees to reimburse or advance to Custodian, on demand, all legal fees, SHRWQHOWRHWREHQRHWRQWH -fense, contest or prosecution of any claim made, threatened or asserted pertaining to the undersigned's investment through Custodian, including, without limitation, claims asserted by the undersigned, any state or federal regulatory authority or self-regulatory organization.

7QHHPROPOHWRDQRP any and all claims, damages, liability, actions, costs, expenses (including, without limita-WRQWWRQHRQOWIRDQRWQWRWHFRQWH -QWRDQHDRHWRDQRHWRQREHRI DQORQWPWPDRRWHFWRQWDNRPWWHREWDNSQWRDQ or in connection with the above direction or resulting from serving as Custodian hereunder.

NOTE: [garbled text]
WRDQWDIFDIRPFFRQWDQWPWQ,QWHO5QH,RDWHSSOFDEOHHOWDWRUFDOO,HHWWWRDQ EHWH
FDSDFWWRHFWWWDQFWRQRPFFRQWFFRDQWPDRSWRQPWDQWRDOFFRQWPW

SIGN & DATE

By signing below you are indicating you have read and understand the attached two (2) pages.

DDPVOUOFSSHOBUVSF	Date	Signature of Custodian	Date
Print Name		Account Number	