

DATE: Thursday, June 27, 2024

FROM: Equity Advisor Solutions

SUBJECT LINE: The Transition to ETC Brokerage Services: Investor Website



Dear Valued Advisor,

As we move forward with the transition of your investors' accounts from Equity Trust Company and Axos Clearing LLC ("Axos") to ETCB on July 27, 2024, we want to make sure your investors have the resources they need as well as answers to some common questions.

Please visit the [ETCB Investor Conversion website](#) which offers:

- Key Dates
- Frequently Asked Questions, kept up to date as needed
- Important Documents and Agreements
- Links to Investor Notices:
 - [ETC Resign and Appoint Letter](#)
 - [ETCB Initial Notice to Investors related to the Conversion](#)

In order to help communicate with you more effectively during this transition, ETCB will offer a dedicated Advisor Conversion website with ongoing information, key dates, training resources, and frequently asked questions. We will send you an email next week with information on how to access this website.

Should you have any questions, please reach out to your Relationship Manager.

Sincerely,
Equity Advisor Solutions

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