



This Conversion Update provides important information on an operational matter.

Wednesday, May 15 Conversion Update

Inbound and Outbound Transfers | Processing and Posting Delays

- We are aware that there have been delays with some inbound and outbound transfer requests.
- We have increased dedicated staff to help with processing and posting the transfers to alleviate any delays.
- We are working with the other firms involved to process pending transfer requests.

Please reach out to your Relationship Manager with any questions.

Sincerely,
ETC Brokerage Services, Member SIPC

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