

Complete this Trade Correction Request Form to cancel trades previously placed and/or “rebook” trades. Email the completed form directly to ETC Brokerage Services at ETCBrokerage@ETCBrokerage.com and follow up with a phone call to 877-403-0369 to ensure receipt. Please note, ETC Brokerage reserves the right to process this request over several days and is not liable for any market fluctuations during the processing time. ETC Brokerage charges a trade correction fee of \$40 per individual trade correction. The advisor acknowledges and accepts responsibility for all costs (losses and fees) associated with the trade correction and agrees to provide payment for any losses within one business day of ETC Brokerage's request.

<b>1 ACCOUNT INFORMATION</b>	
ACCOUNT REGISTRATION	ACCOUNT NUMBER
<input type="checkbox"/> Account(s) and/or Trade Correction details provided on attached <i>(check if applicable)</i> .	
CORRECTION REQUESTED BY	FIRM

<b>2 TYPE OF REQUEST</b>
<input type="checkbox"/> Cancel Trade(s) <input type="checkbox"/> Cancel/Rebook Trade(s)

<b>3 TRADE INFORMATION (Complete the information below or provide an attachment)</b>									
ACTION (Cancel, Rebook or Bust)	TRADE DATE	TICKER or CUSIP	SECURITY DESCRIPTION	TRADE TYPE (Buy or Sell)	AMOUNT \$	PRICE	QUANTITY/ SHARES	COMMISSION	NOTES

Additional Notes and/or Instructions:

## 4 SIGNATURE

Requesting firm accepts responsibility for any losses or costs associated to trade corrections. In order to facilitate the above requested trade correction, Equity Advisor Solutions, ETC Brokerage Services and its affiliates ("Equity") request that the party seeking the correction indemnify Equity for any possible losses, including without limitation, for reasonable attorney's fees and legal expenses. Pursuant to the terms of the Master Services Agreement between your Firm and Equity, your Firm agrees to indemnify Equity from and against any claims as defined within the services agreement incurred by Equity for processing the correction.

AUTHORIZED SIGNATURE

DATE

PRINT NAME

PHONENUMBER

### For ETC Brokerage Internal Use:

RECEIVED BY

DATE

SUBMITTED TO

DATE

COMPLETED DATE

TRADE (GAIN) LOSS:  
(ATTACH CALCULATIONS)

DATE PAYMENT RECEIVED