

## Power of Attorney Information Form

Use this form to authorize a third-party, Power of Attorney (POA), to act on your behalf on one or more of your ETC Brokerage Services' accounts.

This form must be submitted with a copy of court ordered Power of Attorney document.

| CCOUNT NUMBER   |  |  |                                    |                            |                           |  |
|---|--|--|------------------------------------|----------------------------|---------------------------|--|
|   |  |  |                                    |                            |                           |  |
| . ATTORNEY IN FACT  | INFORMATION  |  |                                    |                            |                           |  |
| TTORNEY IN FACT FIRST NAME  |  | ATTORNEY IN FACT MIDDLE NAME                 |                                    | ATTORNEY IN FACT LAST NAME |                           |  |
| OCIAL SECURITY NUMBER   |  | DATE OF BIRTH                                |                                    |                            |                           |  |
| HYSICAL ADDRESS (NO P. O. BOXES)  |  |  |                                    |                            |                           |  |
| TITY  |  | COUNTY                                       |                                    | STATE                      | ZIP CODE                  |  |
| HONE NUMBER   | EMAIL ADDRES   | SS S   |                                    |                            |                           |  |
|   |  |  |                                    |                            |                           |  |
|   |  |  |                                    |                            |                           |  |
| IAILING ADDRESS ( <b>if different from Phy</b>  | SICAL ADDRESS)   |  |                                    |                            |                           |  |
|   | SICAL ADDRESS)   | COUNTY                                       |                                    | STATE                      | ZIP CODE                  |  |
| EITY  |  |  | ally - See Section 11)             | STATE                      | ZIP CODE                  |  |
| EITY  EMAIL ADDRESS (NOTE: This field is required)  |  |  | ally - See Section 11)             | STATE                      |                           |  |
| EMAIL ADDRESS (NOTE: This field is required   | in the event you elect to receive Acc  | ount documents electronic                    |                                    | ☐ wido                     | WED                       |  |
| EMAIL ADDRESS (NOTE: This field is required in the state of the state | in the event you elect to receive Acc  | ount documents electronic  MARRIED  State ID | DIVORCED                           | wido                       | WED                       |  |
| EMAIL ADDRESS (NOTE: This field is required in the status of the status | in the event you elect to receive Acc SINGLE  icense Passport  ID NUMBER                                   | MARRIED  State ID  ISSUE DATE                | DIVORCED  Other Governm  (MM/YYYY) | wido                       | D D                       |  |
| EMAIL ADDRESS (IF DIFFERENT FROM PHYSE  EMAIL ADDRESS (NOTE: This field is required in Fact's Marital Status  Attorney in Fact: Driver's L  PLACE/COUNTRY OF ISSUANCE  IF YOU HAVE BEEN AT YOUR CURRENT ADDRIVADDRESS   | in the event you elect to receive Acc SINGLE  icense Passport  ID NUMBER  ESS FOR LESS THAN SIX MONTHS, PL | MARRIED  State ID  ISSUE DATE                | DIVORCED  Other Governm  (MM/YYYY) | wido                       | D PIRATION DATE (MM/YYYY) |  |



## Power of Attorney Information Form

| If Employed, complete the following information:   | OCCUPATION                             | OCCUPATION                                   |  |  |
|--|--|--|--|--|
| EMPLOYER NAME  | ADDRESS                                |  |  |  |
| CITY   | STATE                                  | ZIP CODE                                     |  |  |
| If Unemployed/Retired, complete the following information:   | SOURCE OF INCOME                       |  |  |  |
| 3. AFFILIATIONS  |  |  |  |  |
| 1. Are you, or your spouse, or any member of your immediate far dependents licensed, employed by, or associated with a brok or member of a securities exchange. If this entity requires its authorization letter (with this application) | er-dealer firm, FINRA or other finan   | cial services regulator, securities exchange |  |  |
| Yes No If yes, please specify below:   |  |  |  |  |
| <ol> <li>Are you, or your spouse, or any member of your immediate far dependents a member of the board of directors, 10% sharehol</li> <li>Yes</li> <li>No</li> <li>Specify the company name, ticker sy</li> </ol>                       | lder, or policy-making officer of a pu | •  |  |  |
| <ol><li>Are you, your spouse, a close associate, or any member of your<br/>official (including but not limited to U.S. &amp; foreign individuals v<br/>forces or financial institution, etc.)</li></ol>                                  |  |  |  |  |
| ☐ Yes ☐ No If yes, please provide that Person's nam  | ne and relevant organization:          |  |  |  |
| SIGNATURE  |  |  |  |  |
| ACCOUNT OWNER'S OR AUTHORIZED INDIVIDUAL'S SIGNATURE   |  | DATE   |  |  |